U.S. Department of Health & Human Services
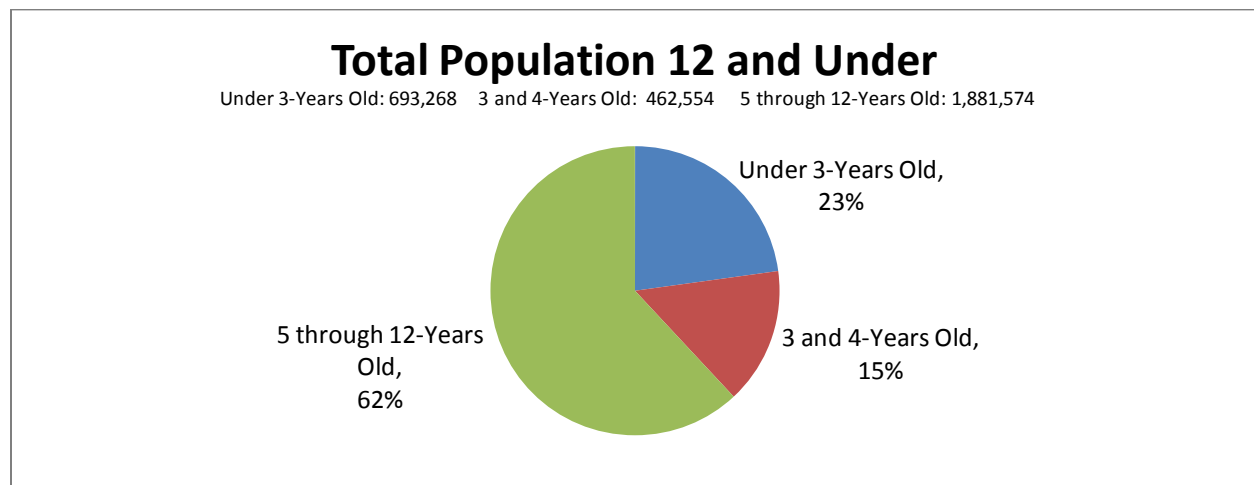*Administration for Children & Families*
Office of Child Care

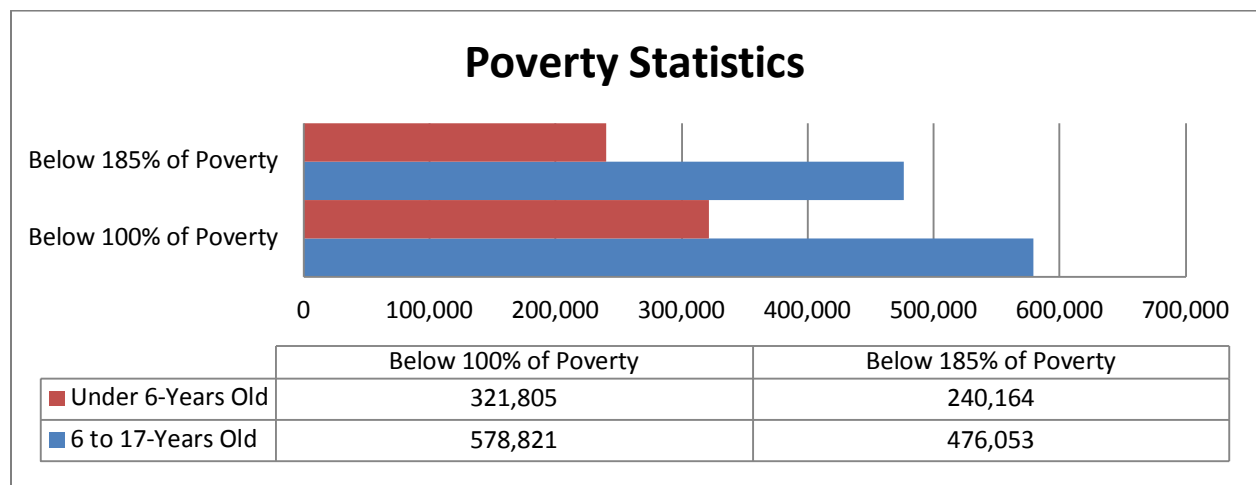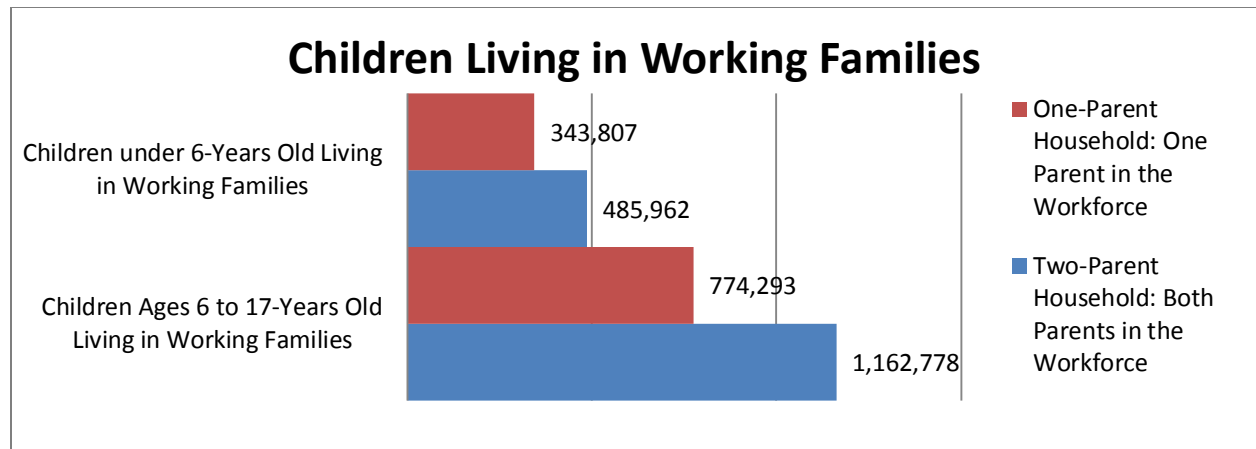# State and Territory Profile – New York

This profile highlights a current innovative effort to promote a subsidy system that is child-focused, family friendly, and fair to providers. It also provides demographic information, Early Care and Education (ECE) program participation and funding, subsidy innovation and program integrity information, program quality improvement activities, and professional development and workforce initiatives. Sources and links are provided at the end of the document.

Highlight of Innovative Effort

New York State Office of Children and Family Services (OCFS) created the Child Care Fraud Prevention and Detection Incentive Program in April 2012, a competitive grants program totaling $1.3 million, to provide counties in New York State with resources they need to detect, prosecute, and prevent child care subsidy fraud. Mini-grants ranging from $40,000 to $100,000 were awarded to 19 counties plus the City of New York; maximum awards were tiered according to child population. Funds for this 12-month initiative are intended to be flexible and responsive to county needs and experience—launching fraud detection work in some counties and expanding it in others. Outcomes will be evaluated against each awardee's goals for fraud detection and prevention.
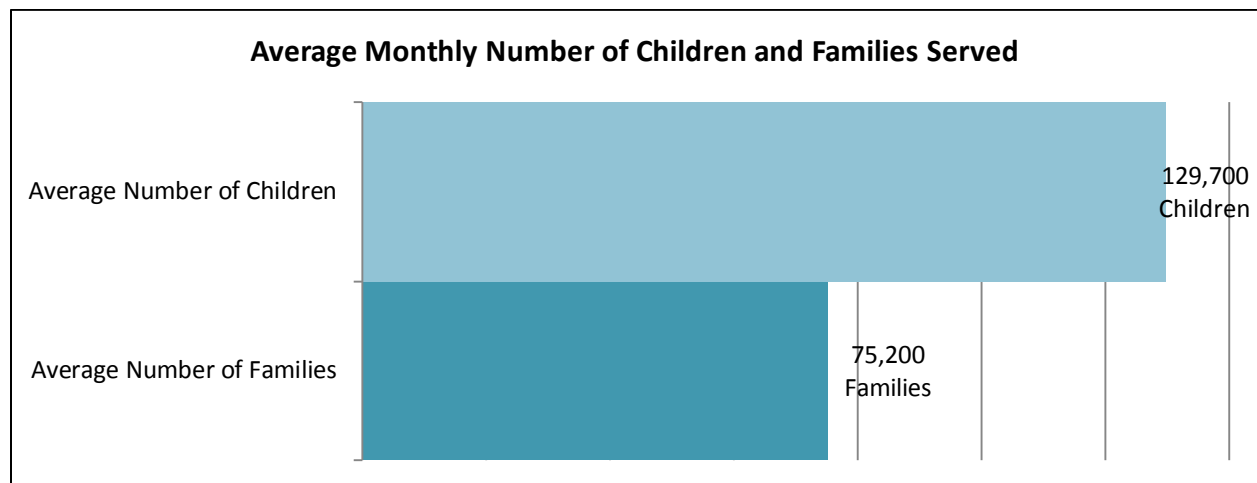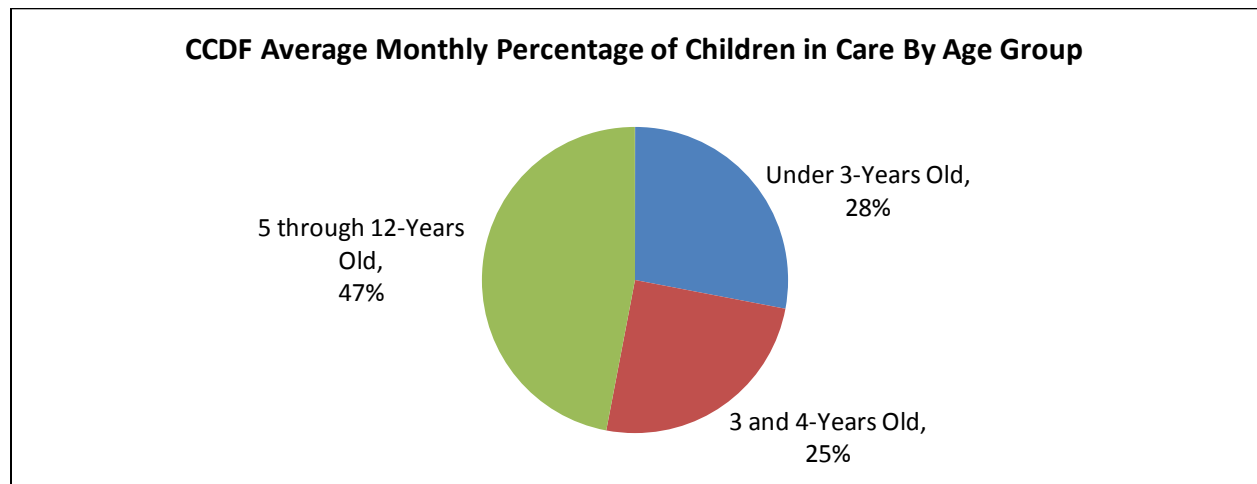
Demographics[1]

Total Population 12 and Under

Under 3-Years Old: 693,268   3 and 4-Years Old: 462,554   5 through 12-Years Old: 1,881,574

Under 3-Years Old, 23%

3 and 4-Years Old, 15%

5 through 12-Years Old, 62%

Children Living in Working Families

Children under 6-Years Old Living in Working Families
- One-Parent Household: One Parent in the Workforce: 343,807
- Two-Parent Household: Both Parents in the Workforce: 485,962

Children Ages 6 to 17-Years Old Living in Working Families
- One-Parent Household: One Parent in the Workforce: 774,293
- Two-Parent Household: Both Parents in the Workforce: 1,162,778

Legend:
- One-Parent Household: One Parent in the Workforce
- Two-Parent Household: Both Parents in the Workforce

Poverty Statistics

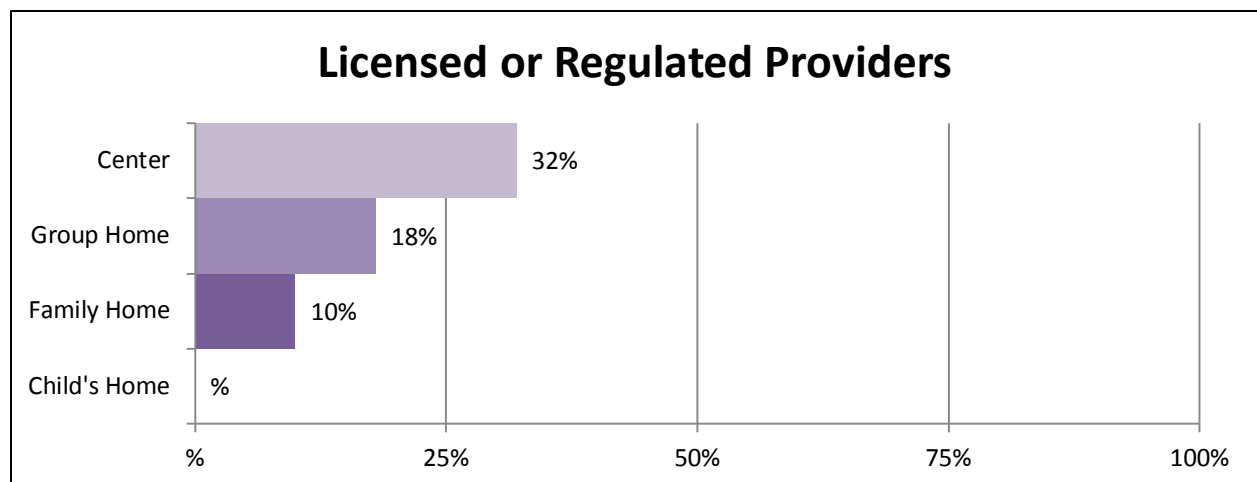|	Below 100% of Poverty	Below 185% of Poverty
Under 6-Years Old	321,805	240,164
6 to 17-Years Old	578,821	476,053

ECE Workforce

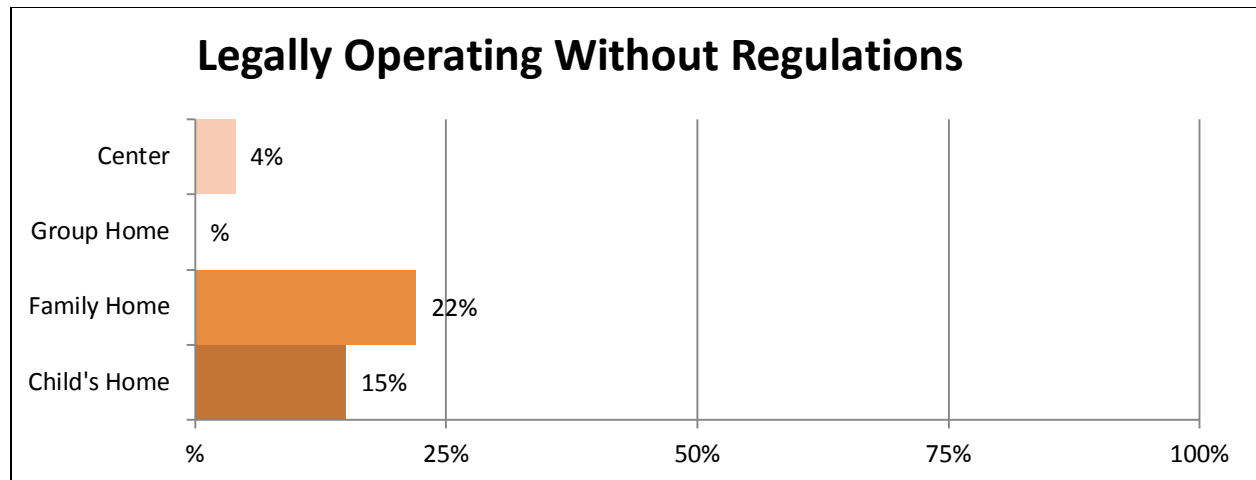| ECE Workforce[2]	
Number of paid employees in child care establishments	64,364
Number of self-employed child care providers	73,325
Average annual wage for child care workers	$24,330

ECE Program Participation and Funding[3]

Percentage and Number of Children/Families Served

CCDF Average Monthly Percentage of Children in Care By Age Group

Under 3-Years Old, 28%

3 and 4-Years Old, 25%

5 through 12-Years Old, 47%

Average Monthly Number of Children and Families Served

Average Number of Children — 129,700 Children

Average Number of Families — 75,200 Families

Average Monthly Percentages of Children Served in All Types of Care[4]

Licensed or Regulated Providers

Center — 32%
Group Home — 18%
Family Home — 10%
Child's Home — %

Legally Operating Without Regulations

Note: Unregulated provider data includes relative and non-relative care.

CCDF Funding and Quality Investments

Child Care and Development Fund (CCDF)	
Total CCDF Expenditure:	$912,877,981
Federal Expenditure:	$710,842,204
State/Territory Expenditure:	$202,035,777

CCDF Quality Investments	
Infant and Toddler:	$3,428,746
Quality Expansion Funds:	$9,757,704
School-Age/Resource and Referral:	$1,065,989

TANF Expenditure on Child Care

Temporary Assistance for Needy Families (TANF) [5]	
TANF – Total Child Care Expenditure:	$466,044,900
TANF – Direct Expenditure on Child Care:	$0
TANF – Transfer to CCDF:	$466,044,900

Funding and Participation for Other ECE Programs

Child and Adult Care Food Program (CACFP)[6]	
CACFP Funding:	$182,163,318
Participation:	
Number of Family Child Care Homes	9,349
Number of Child Care Centers (includes Head Start Programs)	3,827

Head Start[7]	
Head Start Federal Allocation:	$480,387,003
Head Start State/Territory Allocation:	$0
Number of Children Participating:	43,776

IDEA Part B, Section 619[8]	
Funding:	$33,100,968
Number of Children Served (Ages 3- through 5-Years-Old):	64,082

IDEA Part C[9]	
Funding:	$24,077,185
Number of Children Served (Ages Birth through 2-Years-Old):	28,645

Pre-K[10]	
Total Expenditure: Note: Total Expenditure includes all State/Territory, Local, and Federal dollars. In addition to 3- and 4-year-olds, some Pre-K programs enroll children of other ages.	$381,908,267
Enrollment (3- and 4-year-olds):	103,646

State/Territory and Federal Child Care Tax Credits

Child Care Tax Credits[11]	
Federal Total Amount Claimed:	$272,009,000
Federal Number of Claims:	454,095
State/Territory Tax Credit Available:	Yes
State/Territory Credit Refundable:	Yes

CCDF Subsidy Innovation and Program Integrity[12]

Administration of Program

CCDF program rules and policies around eligibility, sliding fee scale, and payment rates are established at the State level.

Approximately 64 percent of care is provided through certificates and 36 percent through grants/contracts. Social services districts have the flexibility to enter into contracts with child care providers to meet the need to access child care for families receiving a child care subsidy under CCDF. Districts typically enter into contracts with licensed day care centers and group family day care homes, registered family day care homes and school-age child care programs, and summer day camps operated under the auspices of the New York State Department of Health (or in the City of New York, the New York City Department of Health and Mental Hygiene).

The Lead Agency contracts with local department of social services to determine eligibility and issue provider payments. It also has Memoranda of Understanding (MOUs) and contracts with a combination of Child Care Resource and Referral (CCR&R) agencies and government and nongovernmental agencies to help parents find care and implement quality activities.

Income Eligibility Criteria

			Lower Than 85% of SMI, If Used to Limit Eligibility	
Family Size	**100% of SMI (Monthly)**	**85% of SMI (Monthly)**	**Amount (Monthly)**	**Percent of SMI**
Two	$4,640.00	$3,944.00	$2,452.00	53%
Three	$5,732.00	$4,872.00	$3,088.00	54%
Four	$6,824.00	$5,800.00	$3,725.00	55%

Income Eligibility Limit as a Percent of State Median Income (SMI)

SMI Year: 2011. Note: Some social services districts (Bronx, Brooklyn, Queens, Liberty Zone in New York City, Albany, Monroe, Oneida, Rensselaer, and Schenectady Counties) have a tiered eligibility system.

Re-determination Period Upon Initial Authorization

Redetermination period varies by county or other jurisdiction. Social services districts select the eligibility determination period to be applied in its district, but redetermination cannot be more than every 12 months.

Waiting Lists

The Lead Agency currently does not have a waiting list and not all eligible families who apply will be served under State eligibility rules.

Prioritize Services for Eligible Children and Families

Families receiving Temporary Assistance for Needy Families (TANF) automatically qualify for child care assistance. Children with special needs and children in families with very low incomes have priority over other CCDF eligible families.

Sliding Fee Scale and Family Contribution

Family Contribution for Family of Three					
Minimum Family Contribution	Maximum Family Contribution for First Child	Maximum Family Contribution for Multiple Children	Lead Agency Waives Family Contribution	Lead Agency Allows Additional Fees by Provider	Sliding Fee Scale Effective Date
$1.00/week	35% of excess family income	35% of excess family income	SOME families with income at or below the poverty level for a family of the same size ARE NOT required to pay a fee.	Yes Note: If the provider enters into a contract with the district and the negotiated rate is lower than the provider's private pay rate, then the provider cannot ask the parent for the amount of the private rate over the negotiated rate.	February 22, 2011

For illustrative purposes, the minimum and maximum fees for full-time care for a family of three upon entry into the program are presented. Note: Contribution is calculated as fee as dollar amount and fee is per family. The sliding fee scale varies by locality and local social services district. Fee percentage for New York City is presented (includes Bronx, Kings, New York, Queens, and Richmond counties). OCFS, with the agreement of the New York State Office of Temporary and Disability Assistance, categorically waives the family fee for recipients of TANF.

Payment Rates for Child Care Services

Rates for Child Care Services – Group 5 Counties			
Age	Licensed		License Exempt
	Center	Family Child Care	
Infant	$338.00/week	$160.00/week	$104.00/week
Toddler	$255.00/week	$150.00/week	$98.00/week
Preschool	$217.00/week	$150.00/week	$98.00/week
School Age	$195.00/week	$150.00/week	$98.00/week

For illustrative purposes, reimbursement rate ceilings for full-time care in the largest urban area are presented. Effective Date: October 1, 2009. Notes: Licensed FCC rates presented are for registered family day care. The rate structure also includes a separate rate for group family day care. License exempt rates are for legally exempt family child care and in-home child care. License exempt rates are set at 65th percent of the rate for registered FCC care. The rate structure has a separate rate for school-age care. The Lead Agency uses hourly, daily, and weekly, full-time and part-time payment units. Rates are set at the 75th percentile of the current Market Rate Survey (MRS). Differential rates are used for nontraditional hour care, care for children with special needs, and higher quality care. Geographic area: The Lead Agency establishes rates by groups of counties. All rates represent Group 5 counties: Bronx, Kings, New York, Queens, and Richmond.

Program Quality Improvement Activities[13]

Licensing

Licensed Facilities	Number	Capacity	Type of Center-Based Facilities/Programs Exempt from Licensing	
Child Care Centers	4,089	273,289	Parents are on the premises	No
Small Family Child Care Homes	6,810	51,536	Small number of children in care	Yes
Large/Group Family Child Care Homes	7,789	115,208	Part-day, small number of hours	Yes
Other Licensed Facilities	2,570	239,648	Preschools operated by public schools	Yes
Total:	21,258	679,681	Recreation programs, instructional classes for children, and/or club programs	Yes
Note:				

Child-Staff Ratios and Group Size by Age of Children for Centers		
Age of Children	Child-Staff Ratios	Group Size
9 months	4:1	8
27 months	5:1	12
3 years	7:1	18
5 years	9:1	24
10 years and older	15:1	30

Types of Routine Visits Conducted			
Type of Care	Announced	Unannounced	Frequency of Routine Visits
Child Care Centers	Yes	Yes	Announced visits a minimum of every two years. Unannounced visits to investigate complaint and corrective action plans.
Small Family Child Care Homes	Yes	Yes	Announced visits a minimum of every two years. Unannounced visits to investigate complaint and corrective action plans.
Large/Group Family Child Care Homes	Yes	Yes	Announced visits a minimum of every two years. Unannounced visits to investigate complaint and corrective action plans.

Program Quality

Program Standards	
■ State/Territory has quality improvement standards that are beyond what is required for licensing	Yes
■ Standards have provisions about the care of the following groups of children:	
◆ Infants and toddlers	Yes
◆ School-age children	No
◆ Children with special needs	Yes
◆ Children who are dual language learners	Yes
■ Standards are part of an implemented quality rating and improvement system (QRIS) or similar quality improvement system	Yes
■ Standards are part of a pilot QRIS or similar quality improvement system, or are in development	No

Program Supports To Improve Quality	
■ State/Territory provides technical assistance (TA)/consultation to help programs improve quality	Yes
◆ TA/consultation is specific to infant/toddler care	Yes
◆ TA/consultation is specific to school-age care	No
◆ TA is part of a QRIS	Yes

Quality Assurance And Monitoring	
■ State/Territory uses assessment tools (e.g., Environment Rating Scales, Classroom Assessment Scoring System, Program Administration Scale, and/or Business Administration Scale)	Yes
■ Program assessments are part of a QRIS	Yes

Financial Incentives and Supports	
■ State/Territory offers financial incentives tied to quality	Yes
■ Financial incentives are part of a QRIS	Yes

Outreach And Consumer Engagement	
■ State/Territory uses the media (e.g., print, radio, television, telephone, social marketing) to provide parents with information about quality	No
■ State/Territory communicates levels of quality for child care programs in a searchable database on the Web	No
■ State/Territory publishes information about licensing compliance on the Internet	Yes

Outreach And Consumer Engagement	
▪ State/Territory conducts consumer engagement campaign with a focus on quality	No

Quality Rating And Improvement System	
▪ Phasing in implementation of QUALITYstarsNY by geographic area in 2012. http://www.qualitystarsny.org	

State/Territory-wide Specialist Networks [14]	
▪ State/Territory has an Infant/Toddler Specialist Network	Yes
▪ School-age Specialist Network	Yes

Early Learning Guidelines (ELGs)[15]

Age Group	
▪ Birth to 3 years	Yes
▪ 3 to 5 years	Yes
▪ 5 years and older	Yes

Incorporation of Early Learning Guidelines into Child Care Systems	
▪ To define the content of training required to meet licensing requirements	No
▪ To define the content of training required for program quality improvement standards (e.g., QRIS standards)	No
▪ To define the content of training required for the career lattice or professional credential	No
▪ To require programs in licensing standards to develop curriculum/learning activities based on the voluntary ELGs	No
▪ To require programs in quality improvement standards to develop curriculum/learning activities based on the voluntary ELGs	No
▪ To develop State/Territory -approved curricula	No
▪ Other:	

Alignment of Early Learning Guidelines with Child Care Systems	
▪ Cross-walked to align with Head Start Outcomes Framework	Yes
▪ Cross-walked to align with K-12 content standards	No
▪ Cross-walked to align with State/Territory pre-k standards	Yes
▪ Cross-walked with accreditation standards	No
▪ Other:	

Professional Development Systems and Workforce Initiatives[16]

Core Knowledge and Competencies	
■ State/Territory has developed core knowledge and competencies	Yes
■ Other:	

Career Pathways	
■ State/Territory has a career pathway or career lattice	Yes
■ Career pathway includes specific topic credentials and/or specific role credentials	No
◆ Infant/toddler credential	No
◆ Preschool credential	No
◆ School-age credential	No
◆ Dual language learners credential	No
◆ Children with special needs credential	No
◆ Center staff credential	No
◆ Family child care credential	No
◆ Director and/or administrator credential	No
◆ Education/Trainer credential	No
◆ Technical Assistance credential	No
● Consultant	No
● Mentor	No
● Coach	No
● Advisor	No

■ Other: The "career lattice" is designed to apply multiple kinds of early learning programs, not just child care. Therefore, in recognition of the different roles and program requirements, it is not a map of a career pathway, but a career calculator concept. Once finalized, it will be the basis for an online worksheet that will calculate accrued points for the early childhood professional.

Professional Development Capacity	
■ State/Territory has a training approval system	Yes
■ State/Territory has a trainer approval system	Yes
■ State/Territory has early childhood education degree programs[17]	
◆ 1-year program	Yes

Professional Development Capacity

- ◆ 2-year program — Yes
- ◆ 4-year program — Yes
- ◆ Master's degree — Yes
- ◆ Ph.D. degree — Yes
- State/Territory has articulation agreements — No

Access to Professional Development

- State/Territory has an online database or calendar of training opportunities — No
- State/Territory has career advising — No
- State/Territory provides supports to access professional development opportunities (e.g., scholarships, free training/education, reimbursement, grants/loans, release time, etc.) — Yes
- State/Territory has technical assistance (such as mentoring and/or coaching) to help practitioners improve their skills — Yes

Compensation, Benefits, and Workforce Conditions

- State/Territory has a salary or wage scale for various professional roles — No
- State/Territory provides financial rewards for participation in professional development (e.g., a one-time salary bonus for completing training) — No
- State/Territory provides sustained financial support on a periodic, predictable basis (e.g., annual wage supplement, based on the highest level of training and education achieved, etc.) — No
- State/Territory offers or facilitates benefits (e.g. health insurance coverage, retirement, etc.) to the workforce — No

Workforce Data System

- State/Territory has a workforce data system (e.g., a workforce registry) — No
  - ◆ Includes staff in centers — No
  - ◆ Includes providers in family child care homes — No
  - ◆ Includes administrators in centers — No
  - ◆ Includes technical assistance providers (including mentors, coaches, consultants, home visitors, etc.) — No
  - ◆ Includes education and training staff (such as trainers, CCR&R staff, faculty) — No
- Other:

[1] US Census Bureau. (n.d). In American FactFinder, 2010 Single Years of Age and Sex.
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[2] U.S. Census Bureau. (n.d). 2009 County Business Patterns. http://www.census.gov/econ/cbp/index.html
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[3] U.S. Department of Health and Human Services, Office of Child Care. (September 2010). *FFY 2010 CCDF data tables [Preliminary estimates]*. Table 9 Average Monthly Percentages of Children In Care By Age Group and Table 1 Average Monthly Adjusted Number of Families and Children Served. http://www.acf.hhs.gov/programs/ccb/data/ccdf_data/10acf800_preliminary/list.htm
U.S. Department of Health and Human Services, Office of Child Care. (2010, September*). FFY 2010 Child Care and Development Fund (CCDF) state expenditures, Preliminary Estimates* http://www.acf.hhs.gov/programs/ccb/data/expenditures/10acf696/overview.htm
[4] U.S. Department of Health and Human Services, Office of Child Care. (September 2010). *FFY 2010 CCDF data tables [Preliminary estimates]*. Table 6 Average Monthly Percentages of Children Served in All Types of Care.
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[5] U.S. Department of Health and Human Services, Office of Family Assistance. (n.d). Fiscal Year 2011 TANF financial data.
http://www.acf.hhs.gov/programs/ofa/data/2011fin/tanf_2011_index.html
[6] Food Research and Action Center. (March 2012). Child and Adult Care Food Program: Participation Trends 2012. http://frac.org/newsite/wp-content/uploads/2009/05/cacfp_participation_trends_report_2012.pdf
Food Research and Action Center. (March 2012). *State of the States: State Data Profile 201.* http://frac.org/map/
[7] U.S. Department of Health and Human Services, Office of Head Start. (2010, February). Head Start program fact sheet.
www.acf.hhs.gov/programs/ohs/about/fy2010.html
[8] U.S. Department of Education, Office of Special Education Programs. (April 2012). Fiscal Year Allocations for Preschool Grants, Individuals with Disabilities Education Act - Part B, Section 619. http://www2.ed.gov/fund/grant/apply/osep/2012apps.html
U.S. Department of Education, Office of Special Education Programs, Data Analysis System (DANS), (July 2012)
OMB #1820-0043: Children with Disabilities Receiving Special Education Under Part B of the Individuals
with Disabilities Education Act, 2011. https://www.ideadata.org/PartBData.asp
[9] U.S. Department of Education, Office of Special Education Programs. (May 2012). Fiscal Year 2012 Allocations for the Grants for Infants and Families Program, Individuals with Disabilities Education Act - Part C. http://www2.ed.gov/fund/grant/apply/osep/2012apps.html
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[11] Internal Revenue Service. (n.d). SOI Tax Stats - Historic Table 2, Tax Year 2009. http://www.irs.gov/taxstats/article/0,,id=171535,00.html
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[12] Information collected by the Child Care State Systems Specialist Network from State Web sites, documents, and FY2012-2013 State Plan.
[13] Information collected by the Child Care State Systems Specialist Network from State Web sites, documents, and FY2012-2013 State Plan.
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[14] National Center on Child Care Professional Development and Workforce Initiatives (June 2012). Information collected from the former National Infant and Toddler Child Care Initiative website and from State Web sites.
[15] Information collected by the Child Care State Systems Specialist Network from State Web sites, documents, and FY2012-2013 State Plan.
[16] Information collected by the Child Care State Systems Specialist Network from state Web sites, documents, and FY2012-2013 State Plan.
[17] Information collected by the Child Care State Systems Specialist Network from State Web sites, documents, and FY2012-2013 State Plan.
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